

COPY OF PAPERS
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/081,350	02/22/2002	Linda M. Braun	Braun 2-16-2

CONFIRMATION NO. 9772

FORMALITIES LETTER



OC00000007852136

Glen E. Books, Esq.
Lowenstein Sandler
65 Livingston Avenue
Roseland, NJ 07068

Date Mailed: 04/11/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

S.B

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/01/2002 SMINASS1 00000078 501358 10081350

01 FC:105 130.00 CH

T.D

SMP

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.
BRAUN 2-16-2

In Re Application Of: L.M. BRAUN et al.

COPY OF PAPERS
ORIGINALLY FILED

Serial No.
10/081,358

Filing Date
02/22/2002

Examiner
TBA

Group Art Unit
2874

Invention: **METHOD AND APPARATUS OF MONITORING OPTICAL POWER LEVEL IN
WAVEGUIDING STRUCTURES**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

04/11/2002

Date

Enclosed herewith for filing are the following:

- A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). (REQUIRED)
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- Other (list):

**ASSIGNMENT TO LUCENT TECHNOLOGIES INC.
RECORDATION COVER SHEET
FORMAL DRAWINGS
RETURN POSTCARD**

07/01/2002 SMNASS1 00000078 501358 10081350

02 FC:115 110.00 CH

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Large Entity)

Docket No.
BRAUN 2-16-2

In Re Application Of: **LM BRAUN et al.**

COPY OF PAPERS
ORIGINALLY FILED

Serial No.
10/081,350

Filing Date
02/22/2002

Examiner
TBA

Group Art Unit
2874

Invention: **METHOD AND APPARATUS OF MONITORING OPTICAL POWER LEVEL IN
WAVEGUIDING STRUCTURES**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

Completion of application fees as calculated below:

Utility application filing fee _____

Design application filing fee _____

Total number of independent claims = _____

Total number of claims = _____

Multiple dependent claims _____

Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**

Petition and fee for filing by other than all the inventors or a person not the inventor _____

Fee for processing an application filed with a non-English language specification _____

Fee for processing and retention of application _____

Total completion of application fees **\$130.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

One month Two months Three months Four months Five months

from: _____ until: _____

Date

Date

Total time extension fees **\$110.00**

Total fees due **\$240.00**



Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Large Entity)

Docket No.
BRAUN 2-16-2

In Re Application Of: L.M. BRAUN et al.

COPY OF PAPERS
ORIGINALLY FILED

Serial No.
10/081,350

Filing Date
02/22/2002

Examiner
TBA

Group Art Unit
2874

Invention: METHOD AND APPARATUS OF MONITORING OPTICAL POWER LEVEL IN
WAVEGUIDING STRUCTURES

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of \$240.00 is to be paid as follows:

- A check in the amount of the fee is enclosed.
- The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 501358
A duplicate copy of this sheet is enclosed.
- If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 501358
A duplicate copy of this sheet is enclosed.

Signature

Dated: 6/20/02

GLEN E. BOOKS
REG. NO. 24,950

LOWENSTEIN SANDLER PC
65 LIVINGSTON AVENUE
ROSELAND, NJ 07068
973-597-6162

CC:

I certify that this document and fee is being deposited on 6/20/02 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

ALAYNE GELLER

Typed or Printed Name of Person Mailing Correspondence

Docket No.
BRAUN 2-16-2

TRANSMITTAL OF FORMAL DRAWINGS

In Re Application Of: L.M. BRAUN et al.

Serial No.	Filing Date	Batch No.	Examiner	Art Unit
10/081,350	02/22/2002		TBA	2874

Invention: **METHOD AND APPARATUS OF MONITORING OPTICAL POWER LEVEL IN WAVEGUIDE STRUCTURES**

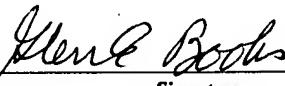
Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

Transmitted herewith are:

ONE (1) sheets of formal drawing(s) for this application.

Each sheet of drawing indicates the identifying indicia suggested in 37 CFR Section 1.84(c).



Signature

GLEN E. BOOKS
REG. NO. 24,950

LOWENSTEIN SANDLER PC
65 LIVINGSTON AVENUE
ROSELAND, NJ 07068
973-597-6162

Dated:



I certify that this document and attached formal drawings are being deposited on 6/20/02 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



Signature of Person Mailing Correspondence

ALAYNE GELLER

Typed or Printed Name of Person Mailing Correspondence